

# Shedding light on the cell biology of extracellular vesicles.

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     Shedding light on the cell biology of extracellular vesicles
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     Abstract :
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     Extracellular vesicles are a heterogeneous group of cell-derived membranous
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     structures that comprises exosomes and microvesicles, which originate from
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     the endosomal system or are shed from the plasma membrane, respectively.
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     They are present in biological fluids and are involved in multiple physiological
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     and pathological processes. Extracellular vesicles are now considered as an
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     additional mechanism for intercellular communication allowing cells to
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     exchange proteins, lipids and the genetic material. Knowledge of the cellular
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     processes that govern extracellular vesicle biology is essential to shed light on
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     physiological and pathological functions of these vesicles as well as on clinical
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     applications involving their use and/or analysis. Yet, many unknowns still
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     remain in this expanding field related to their origin, biogenesis, secretion,
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     targeting and fate.
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     [H1] Introduction
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Apart from the release of secretory vesicles by specialized cells, which carry, 36 37 for example, hormones or neurotransmitters, all cells are capable of secreting 38 different types of membrane vesicles, known as extracellular vesicles, and 39 this process is conserved throughout evolution from bacteria to humans and plants<sup>1 2,3</sup>. Secretion of extracellular vesicles has been initially described as 40 means of eliminating obsolete compounds<sup>4</sup> from the cell. However, now we 41 know that extracellular vesicles are more than waste carriers, and the main 42 43 interest in the field is now focused on their capacity to exchange components 44 between cells — varying from nucleic acids to lipids and proteins — and to act as signalling vehicles in normal cell homeostatic processes or as a 45 consequence of pathological developments 5,6,7. 46

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48 Even though one generic term — extracellular vesicles – is currently in use to 49 refer to all these secreted membrane vesicles, they are in fact highly 50 heterogeneous (Fig. 1), which has largely hampered characterization and 51 manipulation of their properties and functions. Insights into the biogenesis of 52 secreted vesicles was provided by transmission and immuno-electron microscopy, and by biochemical means<sup>8-10</sup>. Based on the current knowledge 53 54 of their biogenesis, extracellular vesicles can be broadly divided into two main categories: exosomes and microvesicles (Fig 1a). 55

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The term exosome (which should not be confused with the exosome complex, 57 which is involved in RNA degradation<sup>11</sup>) was initially used to name vesicles of 58 an unknown origin released from a variety of cultured cells and carrying 5'-59 nucleotidase activity<sup>12</sup>. Subsequently, the term exosomes was adopted to 60 refer to membrane vesicles (30-100 nm in diameter) released by reticulocytes 61 **[G]** during differentiation<sup>4</sup>. In essence, exosomes are intraluminal vesicles 62 (ILVs) formed by the inward budding of endosomal membrane during 63 64 maturation of multivesicular endosomes (MVEs) — which are intermediates within the endosomal system — and secreted upon fusion of MVEs with the 65 cell surface<sup>13,14</sup> (Fig 1a-c). In the mid 1990's exosomes were reported to be 66 secreted by B lymphocytes <sup>15</sup> and dendritic cells<sup>16</sup> with potential functions 67 related to immune regulation, and considered for use as vehicles in anti-68

tumoral immune responses. Exosome secretion is now largely extended to
 many different cell types and their implications in intercellular communication
 in normal and pathological states are now well documented<sup>5</sup>.

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73 Microvesicles, formerly called "platelet dust", were described as subcellular material originating from platelets in normal plasma and serum<sup>17</sup>. Later, 74 75 ectocytosis, a process allowing the release of plasma membrane vesicles, was described in stimulated neutrophils<sup>18</sup>. Although microvesicles were mainly 76 studied for their role in blood coagulation<sup>19,20</sup>, more recently they were 77 reported to have a role in cell-cell communication in different cell types, 78 including cancer cells<sup>21</sup> where they are generally called oncosomes. 79 80 Microvesicles range in size from 50-1000 nm in diameter, but can be even 81 larger (up to 10µm) in the case of oncosomes. They are generated by the outward budding and fission of the plasma membrane and the subsequent 82 release of vesicles into the extracellular space  $^{22}$  (Fig 1a-c). 83

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85 There is now evidence that each cell type tunes extracellular vesicle 86 biogenesis depending on its physiological state, and to release extracellular vesicles with particular lipid, protein and nucleic acid compositions<sup>5</sup> (Fig. 1d). 87 88 Because most published reports of extracellular vesicles have focused on 89 their potential functions rather their origins, it is still unclear which sub-species 90 of vesicles is responsible for any given effect. The current available protocols to recover extracellular vesicles from cell culture supernatants or liquid 91 92 biopsies result in a heterogeneous population of vesicles of unknown origin<sup>23</sup>. 93 Moreover, the diversity of isolated extracellular vesicle populations is further 94 expanded by the inclusion of additional structures into the pool of extracellular vesicles, such as the apoptotic bodies, migrasomes, which transport 95 multivesicular cytoplasmic contents during cell migration<sup>24</sup> or arrestin domain-96 containing protein 1-mediated microvesicles (ARMMS)<sup>25</sup>, which are largely 97 98 uniform, ~50 nm in diameter, microvesicles that have been shown to bud 99 directly from the plasma membrane in a manner resembling the budding of 100 viruses and dependent on arrestin domain-containing protein 1 (ARRDC1) 101 and on endosomal sorting complex required for transport (ESCRT) proteins 102 (similarly to a sub-population of exosomes; see also below).

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105 The overlapping range of size, similar morphology and variable composition 106 challenge current attempts to devise a more precise nomenclature of extracellular vesicles<sup>26</sup><sup>27</sup>. Nevertheless, novel isolation and characterization 107 methods are being developed to allow a more thorough description of 108 109 respective functions of the different types of extracellular vesicles and to establish a suitable classification and terminology. Moreover, to validate 110 111 respective roles of exosomes and microvesicles, efforts are being made to 112 uncover mechanisms underlying the targeting of the different cargoes that 113 these vesicles transport to the site of extracellular vesicle biogenesis, the 114 generation and secretion of vesicles, and their fate in target cells. Here, we 115 review current knowledge and delineate unknown aspects of the essential cellular processes that govern the biology of mammalian extracellular 116 vesicles, including their potential physiological roles, as well as their relevance 117 118 to disease and to clinical applications.

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#### 121 [H1] Biogenesis of extracellular vesicles

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Exosomes and microvesicles have different modes of biogenesis (but both 123 124 involve membrane trafficking processes): exosomes are generated within the 125 endosomal system as ILVs and secreted during fusion of MVEs with the cell 126 surface, whereas microvesicles originate by an outward budding at the plasma membrane<sup>10</sup>. This nomenclature is still questionable as extracellular 127 128 vesicle biogenesis pathways may differ according to the producing cell type. For example, T cells generate primarily extracellular vesicles from the cell 129 130 surface with characteristics of exosomes, likely exploiting at the plasma membrane molecular components and mechanisms that are usually 131 associated with the endosomal biogenesis of ILVs<sup>28</sup>. This peculiar biogenesis 132 of exosomes from the plasma membrane might be specific to T cells, which 133 also use the endosomal machinery for HIV budding at the plasma 134 membrane<sup>29</sup>. 135

137 Even though generation of microvesicles and exosomes occurs at distinct sites within the cell, common intracellular mechanisms and sorting 138 139 machineries [G] are involved in the biogenesis of both entities. In many cases 140 these shared mechanisms hinder the possibility to distinguish among them<sup>5</sup>. 141 Mechanistic details of extracellular vesicle biogenesis have just started to be 142 uncovered as discussed below. First, cargoes scheduled for secretion within 143 extracellular vesicles must be targeted to the site of production, either at the 144 plasma membrane (for microvesicles) or at the limiting membrane of MVE (for 145 exosomes). Second, cargoes are enriched in the forming vesicles by a stepwise mechanism of clustering and budding followed by fission and vesicle 146 147 release (Fig 2).

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# 149 [H3] Cargoes and their targeting to the site of extracellular vesicle150 generation.

The nature and abundance of extracellular vesicle cargoes<sup>30</sup> (Fig 1d) is cell 151 152 type specific and is often influenced by the physiological or pathological state 153 of the donor cell, the stimuli that modulate their production and release, and the molecular mechanisms that lead to their biogenesis<sup>31</sup>. Cargoes are the 154 first regulators of extracellular vesicle formation. As reported for exosomes, an 155 156 ectopic expression of a particular cargo such as, for example the expression of the major histocompatibility complex [G] (MHC) class II<sup>32</sup> promotes MVE 157 formation with a consequent release of extracellular vesicles, likely by 158 recruiting sorting machineries that will promote MVE and ILV generation<sup>32,33</sup>. 159

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Exosomal membrane cargoes reach endosomes from the Golgi apparatus or 161 162 are internalized from the plasma membrane before being sorted to ILVs during endosome maturation<sup>34</sup> (Fig 1). Hence cargoes that are preferentially 163 164 recycled to the plasma membrane are likely not enriched in exosomes unless their recycling is impaired, as is the case for the transferrin receptor in 165 reticulocytes<sup>35</sup>. Therefore, impairment or depletion of regulators of endosomal 166 recycling and retrograde transport from endosomes to the Golgi might 167 generally affect the targeting of some cargoes to extracellular vesicles. In this 168 context, syntenin [G] protein, by acting both in the recycling<sup>36</sup> and in the 169 sorting of syndecan [G] in MVEs<sup>37</sup> for exosome biogenesis, appears as a 170

potential regulator of the crossroad between endocytic recycling andendosomal targeting of potential exosomal cargoes.

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174 Modulation of endocytosis or recycling of cargoes to the plasma membrane 175 would also impinge on their targeting at the site of microvesicle biogenesis. For example, the small GTPase ADP-ribosylation factor 6 (ARF6) was 176 177 identified as a regulator of selective recruitment of proteins, including B1 integrin receptors, MHC class I molecules, membrane type 1-matrix 178 179 metalloproteinase 1 (MT1-MMP) and the vesicular soluble N-ethylmaleimide-180 sensitive factor attachment protein receptor (v-SNARE) VAMP3 into tumourderived microvesicles<sup>38,39</sup>. In addition to ARF6-regulated endosomal 181 182 trafficking, VAMP3 mediates the trafficking and incorporation of MT1-MMP 183 into tumor-derived microvesicles in a CD9-dependent manner. This suggests that VAMP3- and ARF6-positive recycling endosomes are a site of MT1-MMP 184 185 recycling to the cell surface and trafficking to microvesicles. Such crosstalk 186 between recycling and microvesicle biogenesis is also illustrated by studies 187 reporting that the small GTPase Rab22a co-localizes with budding 188 microvesicles and mediates packaging and loading of cargo proteins in hypoxic breast cancer cells<sup>40</sup>. 189

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#### 192 [H3] Machineries involved in the biogenesis of exosomes.

Exosomes are generated as ILVs within the lumen of endosomes during their maturation into MVEs, a process that involves particular sorting machineries. These machineries first segregate cargoes on microdomains of the limiting membrane of MVEs with consequent inward budding and fission of small membrane vesicles containing sequestered cytosol (Fig 2).

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The discovery of the ESCRT machinery as a driver of membrane shaping and scission was the first breakthrough into uncovering the mechanisms involved in the formation of MVEs and ILVs<sup>41</sup>. ESCRT acts in a stepwise manner where ESCRT-0 and ESCRT-I subunits cluster ubiquitinated transmembrane cargoes on microdomains of the limiting membrane of MVEs and recruit, via ESCRT-II, the ESCRT-III subcomplexes that perform budding and fission of this microdomain (Fig 2). Accordingly, Hrs (ESCRT-0) appears to be required
 for exosome formation and/or secretion by dendritic cells<sup>42</sup>.

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208 The role of the ESCRT machinery in ILV biogenesis and the presence of 209 some ESCRT subunits in exosomes opened an avenue to understand and 210 modulate the formation of exosomes through manipulation of the ESCRT components. A medium-throughput RNA interference screen targeting <sup>23</sup> 211 212 different components of ESCRT machinery and associated proteins highlights 213 has revealed various roles for selected members of this family in exosomes 214 generation. Their inactivation impacts either the efficiency of secretion or the 215 composition of the secreted vesicles indicating that some ESCRT 216 components could act selectively on MVE and ILV subpopulations fated for secretion as exosomes<sup>43</sup>. The canonical ESCRT pathway can be intersected 217 by syntenin and ALIX (ALG-2 interacting protein X; an ESCRT accessory 218 219 protein; also known as PDCD6-interacting protein), which bridge cargoes and 220 the ESCRT-III subunit vacuolar protein sorting-associated protein 32 (VPS32: 221 also known as CHMP4)<sup>37</sup>.

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223 Exosomes can be also formed in an ESCRT-independent manner, which was 224 revealed by studies showing that MVEs, featuring ILVs loaded with CD63 are 225 still formed upon depletion of components of the four ESCRT complexes<sup>44</sup>. 226 The first ESCRT-independent mechanism of exosome biogenesis was shown to require generation of ceramide [G] by neutral type II sphingomyelinase that 227 hydrolyzes sphingomyelin [G] to ceramide<sup>45</sup>. Ceramide may then allow 228 generation of membrane subdomains<sup>46</sup>, which impose spontaneous negative 229 230 curvature on the membranes. Alternatively, ceramide could be metabolized to sphingosine 1-phosphate to activate Gi-protein coupled sphingosine 1-231 phosphate receptor that appears essential for cargo sorting into exosomal 232 ILVs<sup>47</sup> (Fig 2). In addition, proteins of the tetraspanin family [G], have been 233 234 shown to regulate the ESCRT-independent endosomal sorting. One of these 235 proteins is CD63, which is particularly enriched on the surface of exosomes and has been shown to be involved in endosomal sorting in melanocytes<sup>48,49</sup>, 236 in cargo (apolipoprotein E) targeting to exosomes secreted by melanoma 237 cells<sup>50</sup> and in the biogenesis of exosomes in fibroblasts from down syndrome 238

patients<sup>51</sup>. Tetraspanins CD81, CD82 and CD9 are also directly involved in 239 the sorting of various cargo to exosomes<sup>52,53</sup>. Mechanistically, these proteins 240 241 form clusters and dynamic membrane platforms with other testraspanins and with different transmembrane and cytosolic proteins<sup>54</sup> likely acting in the 242 243 formation of the microdomains that will bud. Moreover, recent structural 244 analysis of the tetraspanin CD81 revealed a cone-like structure with an 245 intramembrane cavity that can accommodate cholesterol and that is likely shared by other tetraspanins. Clustering of several cone-shaped tetraspanins 246 could then induce inward budding of the microdomain in which they are 247 enriched<sup>55</sup> (Fig 2). But tetraspanins also regulate the intracellular routing of 248 cargoes towards MVEs, such as integrins<sup>56</sup>, which indicates that impairment 249 of their function may affect different steps of exosome generation. Thus, it 250 251 seems that both ESCRT-dependent and -independent mechanisms operate in 252 exosome biogenesis, and their contribution may vary depending on the 253 cargoes, which recruit them, and the cell type.

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255 As mentioned above, sorting of transmembrane cargoes into extracellular 256 vesicles is largely dependent on endosomal sorting machineries. However, 257 additional mechanisms contribute to the targeting of selective soluble or 258 membrane associated cargoes to exosomes. For example, the sequestration 259 of cytosolic proteins into ILVs can results from co-sorting with other proteins, 260 such as chaperones heat shock protein 70 (HSP70) and heat shock cognate 70 (HSC70), which are found in exosomes derived from most cell types<sup>57,58</sup>. 261 262 Membrane cargoes, such as GlycosylPhosphatidyInostol (GPI)-anchored proteins [G] are present in exosomes likely because of their affinity for lipid 263 264 domains and lipid rafts [G] that could be directly involved in ILV generation through their effects on biophysical properties of membranes<sup>59</sup>. It has also 265 been proposed that some cytosolic proteins, modified by ubiquitylation<sup>60</sup> or 266 farnesylation<sup>61</sup> are segregated in ILVs and in exosomes but the underlying 267 268 mechanisms for their enrichment in these compartments are still lacking. 269 Apart from proteins, extracellular vesicles also carry nucleic acids, including RNAs (mRNAs and non-coding RNAs including micro RNAs (miRNAs)<sup>62,63</sup> 270 and DNA sequences<sup>64,65</sup>. Interestingly, miRNAs have been shown to be 271 272 differentially sorted to exosomes, depending on their sequence (presence of

specific motifs)<sup>66</sup>, which indicates that incorporation of nucleic acids into 273 exosomes is regulated . However, the relative contributions of passive and 274 active loading of RNAs into extracellular vesicles remain unclear<sup>67</sup>. The 275 276 mechanisms involved in targeting nucleic acids to exosomes are so far 277 elusive. Different machineries have been proposed to perform specific nucleic 278 acid sorting, including the ESCRT-II subcomplex that could act as an RNA binding complex<sup>68</sup>, the tetraspanin-enriched microdomains that could 279 sequester many RNA-binding proteins in the membrane subdomains<sup>69</sup> or the 280 miRNA-induced silencing complex (miRISC) and protein argounaute 2 281 (AGO2), which mediate RNA silencing processes<sup>70</sup>. New regulators of miRNA 282 sorting into exosomes have also recently been described and include the 283 KRAS-MEK signalling pathway [G] acting through AGO2 <sup>71</sup>, Major Vault 284 protein [G] <sup>72</sup> or Y-box protein 1 [G] <sup>73</sup>. 285

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287 In sum, exosome biogenesis is certainly complex and varies depending on the 288 cargo and on the cell type and can be influenced by other signals and pathological stimuli that the cell can receive. The balance of these pathways 289 290 leading to changes in the compositional repertoire of exosomes also changes over the course of the differentiation process as reported for reticulocytes<sup>74</sup>, or 291 during cell maturation as shown for dendritic cells<sup>75</sup>. Accordingly, most cells 292 293 host subpopulations of MVEs distinguished by different lipid and protein compositions and morphology<sup>52,76</sup>. In this context, different sorting 294 mechanisms can act on the same endosomal compartment<sup>49</sup> or different 295 296 machineries can be used for targeting the same cargo (for example MHCII, which can be targeted to MVEs by both ESCRT-dependent and independent 297 mechanisms)<sup>52,77</sup>, or on different maturation products of the cargo (as is the 298 299 case for melanocyte protein PMEL for which its luminal domain, which is 300 generated by proteolysis, is sorted by ESCRT-independent mechanisms, whereas ESCRT-dependent mechanism is involved in targeting the 301 transmembrane domain of PMEL)<sup>49</sup>. Therefore several mechanisms could 302 concomitantly or sequentially act on forming MVEs, thereby allowing the 303 sorting of diverse cargoes at different stages of maturation of the MVE<sup>78</sup>; 304 alternatively or concomitantly, distinct subpopulations of MVEs may exist and 305 may be targeted by different machineries<sup>5,49</sup> (Fig 3). Overall, this data support 306

307 a model, whereby the biogenesis of exosomes involves several distinct 308 mechanisms for the preferential recruitment of cargoes likely generating 309 heterogeneous populations of ILVs and exosomes within common or distinct subpopulations of MVE<sup>5,6</sup>. Overall, as major regulators of the composition of 310 311 exosomes, endosomal sorting machineries appear as main determinants of 312 their functional properties. Therefore, agents or activities affecting early 313 endosomal sorting machineries and their dynamics should be considered 314 when investigating exosome generation and for their manipulation.

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#### 318 **[H3]** Machineries involved in the biogenesis of microvesicles.

319 Whereas blebbing from the plasma during apoptosis has long been known to produce microvesicles in the form of apoptotic bodies<sup>79</sup>, the release of 320 microvesicles from the plasma membrane of healthy cells and the 321 mechanisms involved in this secretion have only started to emerge recently. 322 323 This biogenesis requires several molecular rearrangements within the plasma 324 membrane, including changes in lipid components and protein composition, and in Ca<sup>2+</sup> levels<sup>31</sup>. Ca<sup>2+</sup>-dependent enzymatic machineries including 325 326 aminophospholipid translocases [G] (flippases and floppases), scramblases 327 [G] and calpain [G] drive rearrangements in the asymmetry of membrane 328 phospholipids (exposition of phosphatidylserine from the inner leaflet to the 329 cell surface), which causes physical bending of the membrane and restructuring of the underlying actin cytoskeleton, which favour membrane 330 budding and formation of microvesicles<sup>21,80</sup> (Fig 2). A genetic defect in the 331 332 activity of the lipid scramblase suppresses the exposure of phosphatidylserine 333 blood platelets, and the production of procoagulant-containing on microvesicles<sup>80</sup>. However, even when the membrane lipid asymmetry is 334 maintained, microvesicle biogenesis might proceed<sup>81,82</sup>. These observations 335 suggest that other lipids, and the domains they form, contribute to 336 337 microvesicle biogenesis. One important lipid component is cholesterol, which 338 is abundant in microvesicles and pharmacological depletion of which impairs their generation in activated neutrophils<sup>83</sup>. 339

341 In addition to lipids, cytoskeletal elements and their regulators are certainly 342 required for microvesicle biogenesis. The activity of Rho family of small 343 GTPases [G] and of the Rho-associated protein kinase (ROCK), which are 344 important regulators of actin dynamics, induce microvesicle biogenesis in different populations of tumor cells<sup>84</sup>. As another example, in the enterocyte 345 brush border [G], myosin 1a distributed along the microvillar tips exerts plus 346 347 end-directed force on the apical membrane, leading to the formation and release of gut microvesicles<sup>85</sup>. 348

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The biogenesis of tumor-derived microvesicles (oncosomes) is also tightly associated with metabolic changes, the so-called Warburg effect [G] <sup>86</sup>. In breast cancer cells, elevated glutaminase activity is dependent on Rho GTPases<sup>87</sup>, and inhibition of its activity blocks microvesicle biogenesis. This suggest that formation and loading of microvesicles is linked to their metabolic capability and to the Rho-GTPase signalling pathway, even beyond its role in actomyosin regulation.

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358 As for cargo targeting to exosomes, lipids and other membrane associated cargoes are localized to sites of microvesicle budding through their affinity for 359 lipid rafts or as is the case for oligomeric cytoplasmic proteins, by their 360 anchoring to plasma membrane lipids<sup>88,89</sup> — two mechanisms that are 361 strikingly analogous to the budding of HIV and other retroviruses. Cytosolic 362 363 components fated for secretion into microvesicles require their binding to the 364 inner leaflet of the plasma membrane. This association is dependent on their 365 respective plasma membrane anchors (palmitovlation, prenvlation. mvristovlation) and the establishment of high-order complexes, that 366 concentrates them to the small membrane domains from which forming 367 microvesicles will bud<sup>88,89</sup>. It is still unclear how nucleic acids, which are 368 369 generally found in microvesicles, are targeted to cell surface. One possible 370 mechanism revealed from studies of cancer cells suggests the involvement of conserved zipcode RNA sequence motifs [G] in the 3' untranslated regions in 371 mRNA targeting into microvesicles<sup>90</sup>, but the details of this process remain to 372 be discovered. 373

#### 375 **[H1]** The release of extracellular vesicles

Once formed, microvesicles pinch off from the plasma membrane whereas 376 377 exosome secretion requires the transport and apposition of MVEs to the 378 plasma membrane to fuse with and release ILVs (as exosomes) into the 379 extracellular milieu. The different intracellular events leading to their secretion 380 are likely to impose a time difference between generation and release of both 381 types of extracellular vesicles. Release of microvesicles would be likely faster 382 as cargoes only need to remain at the plasma membrane to be targeted to 383 microvesicles and their subsequent release would directly follow their 384 generation and fission. On the contrary, release of exosomes requires 385 additional steps to sort cargoes to MVEs, then to ILVs and extrasteps to target MVEs to the plasma membrane and to prime them for secretion. Such 386 387 difference could be relevant from a functional point of view as it imposes additional regulatory "checkpoints" for the secretion of exosomes as 388 389 compared to microvesicles. Whereas in some cases, such as embryonic 390 development, cell differentiation and in general during maintenance of 391 physiological homeostasis the release could be constitutive, this process may 392 also be subjected to further modulation by the physiological state of the cell 393 and the requirement for the supply of key structural components or other 394 mechanisms that would act as triggers for secretion such as the generation of immunological synapse [G] <sup>52,91</sup>. As the release of microvesicles is likely the 395 396 direct consequence of their generation and fission, in the next sections we 397 focus on exosome release and only summarize the few studies on potential 398 mechanisms that could be involved in microvesicle secretion.

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#### 400 [H3] Avoiding MVE degradation.

MVEs are primarily destined to fuse with lysosomes for degradation. 401 402 However, mechanisms preventing their degradation and allowing MVE secretion exist, thereby enabling exosome secretion (Fig 3 and 4). The 403 404 regulation of the balance between degradative and secretory capacity of 405 MVEs remains largely unexplored, but the setting of this balance undoubtedly impacts on cell function. For example, lysosomal degradation defects that 406 407 promote exosome secretion have been shown to enable efficient elimination 408 of unwanted and/or defective proteins such as amyloids in the context of

neurodegenerative diseases<sup>92,93</sup>. The impairment of lysosomal activity by
inhibiting the endosomal proton pump V-ATPase also leads to an increase of
exosome release<sup>94,95</sup>, and, for example, has been shown to trigger apical
secretion of Hedgehog [G] -related peptides through a multivesicular
compartment in *Caenorhabditis elegans*<sup>96</sup>.

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415 Some insights into how the balance between targeting MVEs for secretion and degradation is established have recently emerged. A first level of 416 417 regulation of this balance is likely imposed by the sorting machineries at 418 MVEs. While the different components of ESCRT machinery have various effect on exosomes secretion<sup>23</sup> and generally associated with degradative 419 MVE, the syndecan-syntenin-ALIX pathway seems to be restricted so far to 420 exosome secretion<sup>37</sup>. On the same line, MHCII is targeted to MVEs fated for 421 lysosomal degradation through ubiquitination (likely recruiting ESCRT 422 machinery) while ubiquitin- (and likely ESCRT-) independent mechanisms 423 target MHC II to MVEs fated for secretion<sup>52,77</sup>. The mechanisms underlying 424 425 this balance are still unclear but involve components of various sorting 426 machineries such as ESCRT-I component tumour susceptibility gene 101 427 protein (TSG101), whose ISGylation [G] favours lysosomal degradation (and thereby impairment of exosome secretion)<sup>94</sup>, or the tetraspanin  $6^{97}$ , 428 overexpression of which slows down lysosomal degradation likely by 429 430 recruiting sorting machinery that involves the syntenin pathway. These 431 findings are in accordance with the involvement of ESCRT-independent 432 machineries in the generation of MVEs fated for exosome secretion but not for lysosome degradation<sup>49,52,98</sup>. 433

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A similar balance exists between exosome secretion and macroautophagy — 435 436 the process that drives degradation of superfluous or damaged cellular components in the lysosome to maintain cellular homeostasis and that 437 438 promotes energy conservation under stress. More specifically, the fusion of MVEs with autophagosome would promote their degradation and prevent 439 exosome secretion<sup>99</sup> (Fig 4). In this context, it has been shown that the prion 440 protein (PrP) can promote exosome secretion by inhibiting autophagosome 441 442 formation and it does so by interacting with caveolin [G] and modulating its

inhibitory effect on autophagosome formation<sup>100</sup>. Of interest, chemical 443 444 inhibition of autophagy increases the recovery of autophagosome-associated 445 proteins in the isolated exosomal pellet but not of exosome-enriched proteins<sup>101</sup>. This suggests that the capacity of MVEs to secrete exosomes is 446 447 counter-balanced bv their fusion with the autophagosome. Yet. autophagosomes and MVEs can both secrete their content but the molecular 448 449 mechanisms regulating these secretory pathways are likely distinct.

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#### 451 [H3] Transport of MVEs.

As discussed above, MVEs fuse either with lysosomes for degradation of their content or with the plasma membrane. In both cases a two-step process involving their transport (motility) and fusion is required, but the effectors involved in targeting MVEs to the lysosomes or to the plasma membrane are certainly distinct.

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In general, intracellular transport involves the association of organelles with
the cell cytoskeleton (actin, microtubules), associated molecular motors
(dynein, kinesins, myosins) and molecular switches (Small GTPases)<sup>102,103</sup>.

Exosome secretion is provided by the oriented secretion of these vesicles 461 462 towards the immunological synapse between antigen-presenting cells and T cells during antigen presentation<sup>52,104</sup>. This implies that at least in the context 463 of immunological synapse MVEs follow the network of microtubules oriented 464 by the microtubule organizing centre (typically the centrosome)<sup>91</sup> (Fig 4). The 465 466 molecular motors involved in this process remain to be determined but certainly counterbalance those that regulate transport of MVEs towards 467 468 lysosomes. Targeting to lysosomes occurs by a retrograde transport on microtubules (towards microtubule minus ends), and Rab-GTPase Rab7 and 469 its associated proteins promote the recruitment of the retrograde molecular 470 motor dynein that targets MVE to lysosomes<sup>105</sup>. Interestingly, Rab7 is also 471 mandatory for the release of exosomes<sup>37</sup>. These dual effect on exosome 472 473 secretion seems to rely on the ubiquitylation status of Rab7, which has been 474 shown to promote the recruitment of the machinery involved in lysosomal targeting of MVEs at the expense of exosome secretion<sup>106</sup>. Curiously, in 475 endosomes the recruitment of Rab7 leading to lysosomal targeting is 476

477 stimulated by cholesterol at their limiting membrane, whereas MVE-containing 478 ILVs enriched in cholesterol have been shown to undergo preferential 479 secretion as exosomes<sup>107</sup>. Thus, dynamic changes in the composition of the 480 limiting membrane of MVEs, through incorporation of specific lipids and 481 proteins into ILVs, would likely regulate the fate of MVEs towards degradation 482 or secretion.

Rab27a and Rab27b<sup>32</sup> and their respective effectors, synaptotagmin-like 484 protein 4 and exophilin 5, are also essential for exosome secretion. Rab27b 485 486 regulates the motility of MVEs towards the plasma membrane, and both 487 Rab27 isoforms act on the step following MVE transport, that is the docking at 488 the plasma membrane to promote fusion, thereby increasing exosome 489 secretion. The role of Rab27a in MVE docking involves rearrangement of submembrane actin cytoskeleton<sup>108</sup>, a step that is common to all mechanisms 490 involving vesicular secretion. Rab27 also controls secretion of secretory 491 lysosomes so called lysosome related organelles<sup>109</sup>, which suggests that 492 493 MVEs capable of exosome secretion may be considered as a specialized 494 compartment rather than a simple MVE subtype. Of note, Rab27 isoforms are 495 not constitutively expressed in all cell types, which implies that each cell type 496 may adapt its own secretory machineries for exosome secretion. This is 497 illustrated by reported involvement of additional Rabs and their effectors, such as Rab11 and Rab35 effector<sup>110,111</sup> in the direct regulation or the potential 498 499 priming of MVE secretion.

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#### 501 **[H3]** Fusion of MVEs with the plasma membrane.

502 The final step of exosome secretion requires the fusion of MVEs with the plasma membrane to release ILVs as exosomes (Fig 4), a process likely 503 mediated by SNARE proteins [G] and synaptotagmin family [G] members<sup>112</sup>. 504 505 A SNARE complex known to be implicated in the exocytosis of conventional lysosomes consists of VAMP7 on the lysosomes, syntaxin 7 on the plasma 506 membrane and the lysosomal regulatory protein synaptotagmin 7<sup>113</sup>. This 507 complex is involved in exosome secretion in some cells (human leukemia 508 K562 cell line)<sup>114</sup> but not in others (MDCK cells)<sup>115</sup>. The process of exosome 509 510 secretion has been demonstrated in several cell types to be regulated by Ca<sup>2+</sup>

<sup>116-118</sup>, which may have a role in the activation of the SNARE complexes. The 511 implication of SNAP23 - a SNARE shown to regulate lysosome-related 512 organelles secretion in mastocytes<sup>119</sup> — also in exosome secretion<sup>120</sup>, 513 strengthens the notion that MVEs are indeed specialized secretory organelles. 514 Additional SNARE proteins involved in exosome secretion such as YkT6<sup>121</sup> in 515 Drosophila, SYX-5 in *C. elegans*<sup>122</sup> and syntaxin 1a<sup>123</sup> in mammals reflect 516 517 again the diversity of regulators that could be involved in exosome secretion, most likely depending on the organism, the cell type or the MVE subtypes. It 518 519 should be noted that most of the studies on the intracellular regulators of 520 exosome release came from analysis of exosomal pellets isolated from 521 supernatants from cell cultures treated with inhibitors or interfering RNAs 522 against potential targets, ignoring the complexity of intracellular pathways that 523 might be affected in the producing cells by these perturbations. Moreover, the quantity of extracellular vesicles recovered in the supernatant does not take 524 into account the fraction of vesicles that remains tethered (not fully released) 525 at the plasma membrane of the producing cells<sup>95</sup> or the fraction of 526 extracellular vesicles that can be recaptured by the same cell<sup>124</sup>. A better 527 528 understanding of this step certainly requires the development of new tools and 529 techniques to follow docking and fusion of MVEs with the plasma membrane.

530

#### 531 [H3] Release of microvesicles.

532 The release of microvesicles requires their fission from the plasma 533 membrane, a mechanism that is dependent on the interaction of actin and myosin with a subsequent ATP-dependent contraction<sup>85,125</sup>. As such, the 534 activation of small GTP binding proteins including ARF6 and ARF1 leads to 535 536 the phosphorylation of the myosin light chain (MLC) and actomyosin contraction, which allows the vesicles to bud off from the membranes of 537 cancer cells<sup>39 126 127</sup>. In HeLa cells another regulator of actin dynamics, Cdc42 538 539 has been shown to be involved, but the underlying mechanism is still not 540 known<sup>84</sup>. Interestingly, TSG101 and VPS4-ATPase, mostly involved in 541 exosomes generation as part of the ESCRT machinery, were reported to 542 participate in the scission and release of ARMMs (subtype of microvesicles containing ARRDC1)<sup>25</sup>. Shedding of ESCRT-dependent microvesicles was 543 also reported in C. elegans embryos upon loss of the conserved flippase P4-544

545 ATPase. TAT-5, which leads to the cytosolic of exposure phosphatidylethanolamine, an aminophospholipid asymmetrically enriched in 546 the inner leaflet of the membrane bilaver<sup>128</sup>. This scenario mirrors the 547 548 exposure of phosphatidylserine by lipid translocation, which as discussed 549 above, can promote membrane bending and microvesicle budding. (Fig 2)

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551 The involvement of cell signalling pathways in microvesicle release is strongly 552 supported by reports showing that removal of serum, and therefore growth 553 factors acting on their respective receptors and downstream effectors, prevents microvesicle release<sup>129</sup>. What is known is that a strong microvesicle 554 release is induced by increased concentration of Ca<sup>2+</sup>, which by activating 555 556 scramblase and calpain leads to a loss of membrane phospholipid asymmetry and the reorganization of the cytoskeleton (see above) or by the activation of 557 protein kinase C [G] by phorbol esters<sup>130</sup>. Release of microvesicles has also 558 been shown to depend on ATP-mediated activation of P2x7 receptors [G], 559 which leads to rearrangements of the cell membrane<sup>131,132</sup>. Mechanistically, 560 561 this process is associated with the translocation of the acidic 562 sphingomyelinase to the plasma membrane where it generates ceramide, 563 thereby promoting membrane bending and microvesicle shedding<sup>133</sup>. The 564 involvement of acidic rather than neutral sphingomyelinase in microvesicle release suggests that different members of the sphingomyelinase family 565 control the biogenesis of exosomes<sup>45</sup> (see above) and the release of 566 microvesicles, but in both cases, these mechanisms would support ESCRT -567 568 independent vesicle release.

569

#### 570 [H1] Targeting to recipient cells

Once released into the extracellular space extracellular vesicles can reach 571 recipient cells and deliver their content to elicit functional responses and 572 573 promote phenotypical changes that will impact on their physiological or 574 pathological status. Extracellular vesicle-mediated intercellular communication 575 requires docking at the plasma membrane, followed by the activation of 576 surface receptors and signalling, vesicle internalization (endocytosis) or their 577 fusion with target cells (Fig 5). The mode of vesicle interaction with the cell 578 surface and the mechanisms that mediate the transfer of extracellular vesicle 579 cargoes are not fully unravelled. These processes are complex and depend 580 on the origin of extracellular vesicles and on the identity and origin of the 581 recipient cells, as well as seem to be linked to the downstream effects and processes instigated by these vesicles<sup>134</sup>. Current studies have been mostly 582 583 focused on investigating membrane interaction and intercellular fate of pools of exosomes, but despite different content and size, the principles of uptake 584 585 and general intercellular trafficking of different sub-populations of extracellular 586 vesicle are likely to be shared.

587

#### 588 [H3] Binding of extracellular vesicles to their target cells.

Target cell specificity is likely to be determined by specific interactions between proteins enriched at the surface of extracellular vesicles and receptors at the plasma membrane of the recipient cells, as for example, in follicular dendritic cells [G] <sup>135</sup>,intestinal epithelial cell<sup>136</sup>, dendritic cells<sup>137</sup>, or neurons <sup>138</sup>, and also in liver, lungs or lymph nodes<sup>136,139</sup>. Of note, the recipient cells can also be the producing cell itself, leading to autocrine responses<sup>124</sup>.

596

597 Several mediators [Au:OK?] OK of these interactions are known and include 598 tetraspanins, integrins, lipids, lectin [G] or heparan sulfate proteoglycans [G], 599 and extracellular matrix (ECM) components (Fig 5 inset). The cellular and molecular basis for the specific targeting to acceptor cells is still unclear, 600 601 although some data is available. For example, integrins on extracellular 602 vesicles can interact with adhesion molecules such as intercellular adhesion molecules [G] (ICAMs)<sup>140</sup> at the surface of recipient cells. In addition, the 603 interaction of integrins with extracellular matrix proteins, mostly fibronectin 604 and laminin, has been shown to have important roles in exosome<sup>141,142</sup> and 605 microvesicle <sup>143</sup> binding to recipient cells. In this context, the ECM can act as a 606 "zipper" between integrins present on extracellular vesicles and target cells. In 607 vivo, integrin heterodimers may drive extracellular vesicles towards specific 608 target organs<sup>139</sup>. One example includes exosomes released by cancer cells, 609 610 which can be targeted to specific organs such as lung and liver, to promote 611 premetastatic niche formation in a manner dependent on their integrin

composition<sup>139</sup>. Exosomal tetraspanins could also regulate cell targeting. They 612 have been shown to interact with integrins<sup>144</sup> and to promote exosome 613 docking and uptake by selected recipient cells<sup>145,146</sup>. Other molecules such as 614 heparan sulphate proteoglycan and lectins, both present in extracellular 615 616 vesicles and at the plasma membrane, contribute to their docking and/or attachment of these vesicles to recipient cells (Fig 5). Glypican-1, a cell 617 surface proteoglycan that bears heparan sulfate, and CD44, a cell-surface 618 glycoprotein involved in cell-cell interactions, are involved in exosome<sup>147</sup> and 619 microvesicle<sup>148</sup> docking, respectively. The lipid composition of extracellular 620 621 vesicles can also have an impact on recipient-cell targeting. For example, phosphatidylserine can recruit specific lipid-binding proteins such as Galectin 622 5 or Annexin 5<sup>140 149,150</sup> that then induce docking of vesicles to the target cell 623 membrane. 624

625

#### 626 [H3] Uptake and intracellular fate of extracellular vesicles.

627 Once they have bound to recipient cells extracellular vesicles may remain at the plasma membrane <sup>135</sup>; <sup>52</sup> or may be internalized by clathrin-mediated or 628 629 clathrin-independent endocytosis, such as macropinocytosis [G] and phagocytosis<sup>151-153</sup> as well as through endocytosis via caveolae and lipid 630 rafts<sup>157-159</sup> (Fig 5). Of note, certain cell types, such as HeLa cells or EBV-631 transformed B cell line release clusters of exosomes, as a result of tethering 632 the vesicles by protein tetherin<sup>95</sup>. This clustering may affect the way these 633 vesicles are internalized, favouring phagocytosis or macropinocytosis to 634 635 support the engulfment of such large masses or aggregates of extracellular vesicles<sup>151</sup>. 636

637

Specific composition of extracellular vesicles will influence their fate. The presence of Amyloid precursor protein on one exosome subtype from neuroblastoma cells will specifically target them to neurons contrary to a CD63 enriched exosome subtype that binds both neurons and glial cells<sup>154</sup>. Another example is the presence of syncitin at the surface of exosomes derived from the trophoblast [G] that promotes their uptake <sup>155</sup>, whereas the presence of a "don't eat me" signal , such as CD47, at the surface has been 645 shown to have a strong inhibitory effect on vesicle phagocytosis by 646 monocytes <sup>156</sup>.

647

648 The fate of extracellular vesicles is also likely related to the presence of 649 specific structures at the plasma membrane of the target cell. As an illustrative example, it has been shown that microvesicles derived from microglia [G] 650 651 show largely different dynamics of interaction with membranes of microglia and astrocytes [G] <sup>157</sup>. It has also been shown that filopodia [G] drive 652 extracellular vesicles toward sites of uptake<sup>158</sup>. The lipid composition of the 653 plasma membrane of recipient cells such as the presence of lipid raft also 654 655 contributes to extracellular vesicle internalization as disruption of lipid rafts by cholesterol depletion reduces uptake of extracellular vesicles<sup>159</sup>. 656

657

Following interaction with the plasma membrane of recipients cells<sup>157</sup> and after 658 659 uptake by different mechanisms, extracellular vesicles follow the endocytic pathway and reach MVEs, which in most cases, are targeted to the 660 lysosome<sup>160,161</sup>. In some cases the internalized vesicles may escape digestion 661 662 by back fusion with the limiting membrane of the MVE, thereby releasing their content into the cytoplasm of the recipient cell<sup>162</sup>, a process that is still poorly 663 understood but of prime importance to release intraluminal nucleic acid 664 structures (Fig 5). The restricted co-localization of trophoblast-derived 665 666 exosomes with early but not late endosomal structures also suggests that some internalized extracellular vesicles could escape lysosomal degradation 667 by being re-secreted either via the early endocytic recycling pathway or by 668 fusion of MVEs with the plasma membrane (Fig 5)  $^{155}$ . 669

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Advances in live imaging methods and super-resolution techniques will surely
aid in providing further understanding of the processes of extracellular vesicle
uptake and their intracellular fates.

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#### 675 [H3] Signals delivered by extracellular vesicles to recipient cells.

676 Once docked at the plasma membrane, extracellular vesicles can elicit 677 functional responses by binding to and activating receptors expressed on the 678 recipient cells (Fig 5). First examples were B cells and dendritic cells derived

exosomes that were able to present antigen to T cells and induce specific 679 antigenic response<sup>15,16</sup>. Tumour derived microvesicles were shown to carry 680 681 fibronectin, which when bound to integrin on non-transformed fibroblasts was 682 able to promote their anchorage independent growth (one of the hallmarks of 683 tumorigenesis), contributing to the acquisition of transformed phenotype by healthy cells<sup>163</sup>. As another example, microvesicles generated and released 684 685 by embryonic stem cells were shown to induce invasion of maternal tissue by the trophoblast, which is mediated by the interaction of laminin and fibronectin 686 687 on the microvesicles with integrins along the surfaces of the trophoblast, and which promotes embryo implantation<sup>164</sup>. The role of extracellular vesicles in 688 the long-range transfer of morphogens to recipient cells in developing 689 organisms was also shown<sup>165</sup>. 690

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692 Cargo delivered by extracellular vesicles can also activate various responses 693 and processes in the recipient cell after internalization. For example, in 694 dendritic cells, protein cargo of exosomes derived from intestinal epithelial cells<sup>136</sup> or other dendritic cells<sup>140</sup> is processed in the endocytic compartment 695 696 similarly to antigens and then used in antigen presentation, thereby contributing to immune response regulation. Extracellular vesicles could also 697 698 fuse directly with the plasma membrane or with the endocytic membrane of 699 recipients cells. Such processes are mandatory to release intraluminal content in the cytoplasm of recipient cells, a key step to support the release of 700 miRNA<sup>62</sup> and mRNA<sup>166</sup> from extracellular vesicles into recipient cells to 701 702 regulate gene expression. Direct fusion of extracellular vesicles with the 703 membrane of recipients cells allow also the exchange of transmembrane 704 proteins and lipids. Extracellular vesicles can transport various lipid species including eicosanoids, fatty acids, and cholesterol as well as lipid 705 translocases, thereby contributing to the regulation of bioactive lipid 706 species<sup>167</sup>. Under pathological situations, a good example of material 707 708 transferred through extracellular vesicles is given by pathological amyloid 709 proteins, which can be either enriched at the surface of extracellular vesicles 710 such as prion protein or amyloid beta peptide, or present intraluminaly such 711 as TDP43 and alpha-synuclein. Their transfer to recipient cells, requiring back 712 fusion, has been proposed to favour transcellular spreading of amyloids<sup>168</sup>.

Mechanisms governing fusion of extracellular vesicles with these different compartments are not known yet, but could be analogous to fusogenic processes employed by viruses<sup>169</sup>.

716

The ultimate and likely most frequent fate of extracellular vesicles is their targeting to lysosomes, which leads to the degradation of proteins and lipids carried by extracellular vesicles. Of importance this degradative pathway would provide a relevant source of metabolites to the recipient cells<sup>170</sup> (Fig 5).

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#### 722 [H1] Conclusions and perspectives

Much progress has been made in recent years in understanding the basic 723 biology of extracellular vesicles, but further investigations are required to fully 724 725 resolve the functional capabilities of these vesicles. Extracellular vesicles are involved in several physiological contexts and pathological states, including 726 727 coagulation, inflammation, stem cell blood expansion. neuronal communication and tumorigenesis among others<sup>6</sup>. In this context, extracellular 728 729 vesicles have been shown to carry, for example, tumour-associated molecules in case of cancer and premetastatic niche establishment<sup>139</sup><sup>171</sup>, or 730 731 particular components associated with neudegenerative diseases<sup>172</sup>. Thus, 732 extracellular vesicles hold a great potential for clinical application.

733

734 Regulatory pathways involved in biogenesis and secretion of extracellular 735 vesicles, when well defined, could be used to manipulate extracellular vesicle 736 generation in pathological states, such as tumorigenesis, where the 737 involvement of extracellular vesicles in pathology has been particularly well documented<sup>163</sup>. Nevertheless, it should be noted that manipulation of 738 739 machineries involved in the biogenesis, transport or targeting of extracellular 740 vesicles for the rapeutic benefit should be approached with caution, owing to potential secondary effects of such manipulations on healthy tissues<sup>173</sup>. 741

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The broad and increasing interest in extracellular vesicles has also opened up the possibility to use exosomes and microvesicles as biomarkers to follow progression of various pathological states, for example for assessing risk of tumour progression and metastasis or for providing early biomarker of

neurodegenerative diseases<sup>172</sup>. Investigations in this area have flourished, 747 748 aiming to put on solid ground the use of extracellular vesicles as biomarkers 749 in a variety of diseases. Developing techniques to enrich for disease-750 associated (for example, tumour-derived) extracellular vesicles to define their selective cargo can improve the sensitivity of such biomarkers<sup>174</sup>. Whether 751 752 these "membrane biomarkers" correspond to endosomal-derived exosomes or 753 membrane-derived microvesicles is so far unclear although potentially informative. Future studies and optimized isolation procedures (Box 1) will 754 755 shed light on the nature of the different extracellular vesicle subpopulations 756 that could be associated with distinct pathological states and stages of 757 progression of a given disease.

758

759 Another emerging application is the use of microvesicles and exosomes as vectors for the delivery of defined compounds or more generally for 760 761 modulation of cell functions in an in vivo context. Extracellular vesicles are biocompatible, can be immunologically inert, and can, if necessary, be 762 763 patient-derived and therefore with lower propensity to trigger innate and adaptive immune responses <sup>175</sup>. Their use in clinical research have already 764 demonstrated that extracellular vesicles secreted by immune cells (dendritic 765 766 cells) stimulate the immune system and can therefore be exploited as antitumor vaccines<sup>176,177</sup>. Several clinical trials involving the use of extracellular 767 768 vesicle-based delivery are ongoing, for example for the treatment of lung 769 cancer and melanoma, that may become part of an immunotherapy approach that has great potential for patients with advanced cancers<sup>178</sup>. Given that 770 771 extracellular (in vesicles particular exosomes) can be either 772 immunostimulatory or tolerogenic (immunologically inert), there are several examples of possible therapeutical interventions where extracellular vesicles 773 can be used (reviewed in detail elsewhere<sup>5,179</sup>,<sup>172</sup>). Beside the aforementioned 774 use of extracellular vesicles in antitumoral therapy, dendritic cells pulsed with 775 Toxoplasma gondii release extracellular vesicles that confer protection 776 against subsequent toxoplasma infection<sup>180</sup>. Such strategy could be 777 considered for fungi, bacteria, parasitic protozoa and helminths<sup>172</sup>. 778 779 Mesenchymal stem cells-dreived extracellular vesicles are now tested in animal models to treat acute kidney failure<sup>181</sup>, myocardial infarction<sup>182</sup> or 780

ischemia<sup>183</sup>. Other undergoing assays are based on *in vitro* manipulation of extracellular vesicles with the loading of a particular cargo (for example interfering RNAs; suicide mRNA/protein [G], miRNAs, drugs) to then deliver it to the target cell as a drug or for bioengineering purposes<sup>184,185</sup>. Modulating the specificity of targeting extracellular vesicles to recipient cells will be key for their use as high precision vehicles, and such approaches have already been tested to optimize the delivery of siRNAs to the brain<sup>184</sup>.

788

Despite the enormous therapeutical potential, the field is still in demand of new *in vivo* models combined with powerful imaging methods to track at the single vesicle scale, the release, trafficking routes and fates of extracellular vesicles within the complex architecture of the organism (see also Box 1). Cell biologists and physicians working side by side in a complementary manner will certainly shed further light on the basic functions of extracellular vesicles and on their translation from the bench to bedside.

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1351 Display items

1353 **Box 1** 

#### 1354 <u>Methods of isolation and analysis of extracellular vesicles.</u>

1355

1356 The release of extracellular vesicles in the extracellular space allows their 1357 recovery from cell culture supernatants and liquid biopsies. Isolation 1358 procedures include differential ultracentrifugation, flotation on density 1359 gradient, separation by size exclusion chromatography, poly(ethylene glycol) 1360 (PEG) precipitation, immunoprecipitation and commercial kits that are partly 1361 based on these methods. These steps allow to concentrate and to separate extracellular vesicles from protein aggregates, lipoparticles, viruses, and cell 1362 debris with different rates of success. Combination of different isolation 1363 1364 procedures is encouraged to notably separate subpopulations of vesicles 1365 based on their size, density or composition.

1366

1367 Several analytical methods are available and should be combined to first 1368 assess purity, integrity and concentration of extracellular vesicles before 1369 further analysis or other experiments. The most commonly used approaches 1370 for the analysis of the composition and morphology of extracellular vesicle 1371 populations include western-blot analysis, nano-particle tracking, transmission 1372 electron microscopy and flow cytometry and can be completed by proteomics, lipidomics and RNA and/or DNA sequencing67,186. Functional analysis of 1373 1374 extracellular vesicles depends on the question to be addressed. It should 1375 always be performed after assessment of the purity of the extracellular vesicle 1376 pellet, as soluble proteins such as cytokines, protein complexes and 1377 aggregates or lipoparticles are a major source of false positive results in 1378 functional assays.

1379

A crowdsourcing knowledgebase (<u>http://evtrack.org</u>) that centralizes extracellular vesicle studies and methodologies provide a means to standardize extracellular vesicle research to strengthen reproducibility between studies<sup>187</sup>. Emerging strategies are now developed to investigate biogenesis, uptake of extracellular vesicles and the transfer of material to recipient cells *in vitro* and *in vivo*. These strategies are mainly based on the 1386 labelling of isolated extracellular vesicles with fluorescent dyes or expression 1387 of fluorescent reporters that are targeted to these vesicles. Such labelling has some limitations<sup>188</sup> but allows the tracking of extracellular vesicle by live cell 1388 1389 imaging in cell lines and, to limited extent, also in vivo189. The main limitation 1390 being the size of the vesicles, super-resolution microscopy is one option to 1391 assess vesicle budding at the plasma membrane or in multivesicular 1392 endosomes, and to track their fate in recipient cells. A second approach is 1393 based on the loading of extracellular vesicles with molecules (mRNA, micro RNA, Cre recombinase)<sup>190,191</sup> which induce detectable signals such as 1394 modulation of expression of a reporter gene once released in recipient cells. 1395 1396 Alternative approach using optical tweezers allows the manipulation and the visualization of single vesicle at the surface of recipient cells<sup>157</sup>. But, so far, 1397 1398 the field is still in demand of in vivo models that would allow reproducible 1399 tracking of extracellular vesicles at a single vesicle and high spatio-temporal 1400 resolution at different stages: through their biogenesis and transit routes in 1401 secreting cells to their delivery and fate in the recipient cells. Such approach 1402 would overcome the actual limitations linked to, for example, the biased 1403 recovery of extracellular vesicles from the supernatant (resulting from 1404 processes such as vesicle tethering to the membrane of the producing cell or their re-uptake)<sup>95,124</sup>. But as in any novel field of research, pre-analytical and 1405 1406 analytical methods for studying extracellular vesicles are bound to evolve and 1407 to be better standardized to render the increasing numbers of publications in 1408 this field comparable.

1409

#### 1410 Figure Legends

#### 1411 Figure 1: Main features of extracellular vesicles.

(a) Extracellular vesicles comprise a heterogeneous population of membrane
vesicles of various origins. Their size may vary (typically between 50 to 500
nm but they can be even larger measuring 1-10 um). Through the last two
decades, extracellular vesicle have been denominated according to their
origin (cell type), size, morphology and cargo content but can now be
classified into two distinct classes: exosomes and microvesicles. (b)
Extracellular vesicles are formed either by budding of the plasma membrane,

1419 and are referred to as microvesicles or as intraluminal vesicles (ILVs) within 1420 the lumen of multivesicular endosomes (MVEs). MVEs fuse with the plasma 1421 membrane to release ILVs that are then called exosomes. (c) Processing of 1422 extracellular vesicles for observation by conventional transmission electron 1423 microscopy (TEM) causes their shrinking leading to an artefactual cup-shaped 1424 morphology (top panel). But when observed in close to native state by cryo 1425 electron microscopy (cryo-EM) they appear as round shaped double-leafletmembrane enclosed structures (bottom panel). (d) Study of extracellular 1426 1427 vesicle composition revealed that they can carry versatile cargoes, including 1428 proteins, lipid and nucleic acids and this content can largely vary between 1429 cells and conditions. The particular composition will directly affect the fate and 1430 function of extracellular vesicles, strengthening the importance of selective 1431 cargo sorting mechanisms. Of note, according to the cell type extracellular 1432 vesicles will display a set of cell type specific proteins that account for their 1433 specific fates and functions. Despite a different mode of biogenesis, 1434 exosomes and microvesicles display similar appearance, overlapping size 1435 and often common composition that make it difficult to ascertain their origin 1436 once isolated from the extracellular medium or from biological fluids. ARMMS, 1437 arrestin domain-containing protein 1-mediated microvesicles; GAPDH, 1438 glyceraldehyde-3-phosphate dehydrogenase; HSP, heat shock protein; ICAM, 1439 intercellular adhesion molecule; TSPAN: tetraspanin; LBPA: lyso-bisphosphatidyl acid, ICAM: InterCellular Adhesion Molecule, MHC major 1440 1441 histocompatibility Complex, APP: amyloid precursor protein, PMEL: premelanosomal protein, TCR: T cell receptor, HSPG heparan sulfate 1442 1443 proteoglycan, CXCR4: C-X-C chemokine receptor type 4, PrP, Prion Protein, 1444 TfR: transferrin receptor, ALIX: ALG-2 interacting protein X, Tsg101 Tumor suppressing gene 101, vps: vacuolar protein sorting, LFA-1: Lymphocyte 1445 function associated antigen 1., TDP43: TAR binding protein 43, GAPDH: 1446 glyceraldehyde-3-phosphate dehydrogenase, ERK: Extracellular signal-1447 regulated kinases, PLD: Phospholipase D. Images in part c are the courtesy 1448 1449 of Roberta Palmulli (G. Raposo' laboratory, URM144, Institut Curie) for 1450 conventional TEM and of Daniel Levy (UMR168, Institut Curie).

#### 1452 Figure 2: Biogenesis of extracellular vesicles

1453 Several sorting machineries are involved in the different steps required for 1454 exosomes and microvesicles generation. First, lipids and membrane-1455 associated proteins are clustered in discrete membrane microdomains of the 1456 plasma membrane for microvesicles (top) and the limiting membrane of the 1457 multivesicular endosome (MVE) for exosomes (bottom) (step 1). Such 1458 microdomains certainly also participate in the recruitment of soluble 1459 components fated for sorting in extracellular vesicles such as cytosolic 1460 proteins and RNA species (step 2). Altogether formation of these clustered 1461 microdomains together with additional machineries promote membrane 1462 budding followed by a fission process either at the plasma membrane towards 1463 the extracellular medium or at the limiting membrane of the MVE towards the 1464 lumen of MVE (step 3). Transmembrane proteins sorted on exosomes and microvesicles keep the same topology as at the plasma membrane. 1465 1466 Mechanisms of exosome biogenesis are relatively well understood and 1467 importantly involve subunits of endosomal sorting complex required for 1468 transport (ESCRT), although to a different degree — ESCRT-III is required for 1469 the scission of the intraluminal vesicles (ILVs) into the MVE lumen, but 1470 membrane budding can occur by either ESCRT-dependent or ESCRT-1471 independent mechanisms. The mechanisms involved in the biogenesis of 1472 microvesicles is still expanding. Notably, the molecular machineries that act at 1473 the different steps of extracellular vesicle biogenesis are at least partly 1474 common to exosomes and microvesicles (prominently including ESCRT 1475 proteins but also generation of ceramide through the action of different types 1476 of sphingomyelinase). One exception is the flipping of specific lipid species 1477 between the leaflets of the budding membrane that has been uniquely reported so far to microvesicle budding. Thus, it is difficult to ascertain the 1478 1479 origin of the produced extracellular vesicle by simply impairing the function of 1480 a given mechanism involved in biogenesis of these vesicles. ARF6, ADP-1481 ribosylation factor 6.

1482

#### 1483 Figure 3: Origin of exosome diversity in relation to sorting machineries.

1484 The diversity of extracellular vesicle sub-populations with distinct composition 1485 and function that are generated by a given cell type is often attributed to the

production of distinct sets of exosomes and microvesicles<sup>23,192</sup>. This diversity 1486 is well-exemplified by the secretion of different exosomes sub-populations -1487 1488 with distinct morphology and composition — from apical and basolateral sides of polarized cells such as intestinal epithelial cells<sup>193</sup> <sup>194</sup> <sup>195</sup>. Such exosome 1489 1490 sub-populations are likely originating from sub-populations of MVEs. The 1491 distinct composition of these subpopulations reflects on the presence of 1492 multiple sorting machineries that act on the MVE compartment. It remains to 1493 be determined whether the different sorting machineries act on distinct sub-1494 populations of MVEs (see part on right) or concomitantly in single MVEs to 1495 generate distinct sub-populations of intraluminal vesicles (ILVs) (see part on 1496 the left). The reality is most likely a mix of these two possibilities, with distinct 1497 contribution depending on the cell type. Recruitment of a given sorting 1498 machinery can depend on the maturation stage of the producing cells<sup>52</sup>, 74, on 1499 the post-translational modification of the cargo (for example: proteolytic processing, ubiquitylation) <sup>49,193</sup> or potentially on the stage of maturation of 1500 1501 MVEs. The type of sorting machinery recruited to MVEs will also specify the 1502 fate of MVEs between exosome secretion and lysosomal degradation. ESCRT, endosomal sorting complex required for transport. 1503

1504

# 1505 Figure 4: Interdependency of intracellular trafficking routes in the generation 1506 of extracellular vesicles

1507 The generation of exosomes and microvesicles requires a tuned regulation of 1508 multiple intracellular trafficking steps (blue arrows for exosomes, green arrows 1509 for microvesicles) that influence the targeting of cargoes to the site of 1510 extracellular vesicle biogenesis as well as for exosomes, the fate of the 1511 multivesicular endosome (MVE) from which these vesicles originate. Cargoes 1512 targeted to MVEs originate from endocytosis at the plasma membrane or are 1513 directly targeted to MVEs or to early sorting endosomes via the biosynthetic 1514 pathway (from the trans-Golgi Network (TGN)). Retrograde transport towards 1515 the TGN or recycling back to the plasma membrane will divert cargoes from 1516 their targeting to MVE (dashed arrows) and therefore their incorporation into 1517 ILVs. These sorting processes are regulated by various Rab GTPases. Once matured, MVEs that are not targeted to lysosomes or autophagosomes for 1518 1519 degradation are transported to the plasma membrane on microtubules. At this

1520 step, docking and fusion are the two final processes required for exosome release. Rabs, actin and soluble N-ethylmaleimide-sensitive factor attachment 1521 1522 protein receptor (SNARE) proteins are involved in these steps of exosome 1523 release. In the case of microvesicle biogenesis, endocytic uptake (dashed 1524 arrow) and recycling will respectively decrease and increase the targeting of 1525 membrane (and membrane bound) cargoes to microvesicles. Of note, as the 1526 release of exosomes requires tightly regulated steps of transport, tethering 1527 and fusion of MVE to plasma membrane (apart from cargo sorting) this could 1528 account for the time difference between the generation and release of both types of extracellular vesicles. OK \* denotes proteins of Caenorhabditis 1529 1530 elegans.

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- 1532

#### 1533 Figure 5: Fate of extracellular vesicles in recipient cells.

1534 In recipient cell (which can be the producing cell itself) exogenous 1535 extracellular vesicles will bind to the cell surface (see inset) and can follow 1536 various fates. Depending on the cell type they can remain bound to the 1537 surface (for example to integrins) and can initiate intracellular signalling 1538 pathways (for example antigen presentation). Extracellular vesicles may also 1539 be internalized by multiple routes. Internalization will target exogenous 1540 extracellular vesicles into the canonical endosomal pathway, whereby they 1541 reach multivesicular endosomes (MVEs) where the uptaken vesicles will likely 1542 mix with endogenous intraluminal vesicles (ILVs). Fusion of MVEs with the 1543 lysosome will lead to the degradation of extracellular vesicles and the 1544 recycling of their content to fuel recipient cell metabolism. Extracellular 1545 vesicles either docked at the plasma membrane or at the limiting membrane of MVEs can release their intraluminal content into the cytoplasm of the 1546 1547 recipient cell by fusion, a process which is so far poorly understood but of 1548 major importance for delivery of intraluminal cargoes such as miRNA. Of note, 1549 there is no evidence so far to exclude potential recycling to the plasma 1550 membrane of endocytosed vesicles (dashed arrows). ECM, extracellular 1551 matrix; ICAM, intercellular adhesion molecules; TIM4, T-cell immunoglobulin 1552 mucin receptor 4.

1554			
1555	Glossary		
1556	reticulocytes: precursors of red blood cells (erythrocytes)		
1557			
1558	sorting machineries: protein complexes mediating cargo sorting in		
1559	endosomes		
1560			
1561	major histocompatibility complex: a group of genes that code for cell-		
1562	surface glycoproteins that help the immune system to determine self and		
1563	nonself		
1564			
1565	syntenin: an intracellular adaptor protein linking syndecan-mediated		
1566	signalling to the cytoskeleton		
1567			
1568	syndecan: a single transmembrane domain heparan sulfate proteoglycan that		
1569	binds a large variety of ligands, such as growth factors and fibronectin among		
1570	others		
1571			
1572	ceramide: a lipid molecule composed of sphingosine and a fatty acid linked		
1573	through an amide bound; in fact, many chemically diverse ceramides have		
1574	been described, showing that ceramide is not a single molecular species but		
1575	rather a family of related molecules.		
1576			
1577	sphingomyelin: a type of sphingolipid found in animal cell membranes.		
1578			
1579	tetraspanin family: family of proteins with four transmembrane domains		
1580	which allow association with other members of the family and with other		
1581	proteins to generate dynamic membrane domains.		
1582			
1583	GlycosylPhosphatidyInostol (GPI)-anchored proteins: a posttranslational		
1584	modification, comprising a phosphoethanolamine linker, glycan core, and		
1585	phospholipid tail, that anchors the modified protein to the outer leaflet of the		
1586	cell membrane.		
1587			

1588 lipid rafts: specialized membrane microdomains enriched in cholesterol and
1589 glycosphingolipid which serve as organizing centers for the assembly of
1590 signalling molecules.

1591

1592 **KRAS–MEK signalling pathway**: interaction between the proto-oncogene 1593 KRAS which encodes a small GTPase and the downstream effector, the 1594 canonical RAF–MEK–EERK signalling pathway. Both pathways have roles in 1595 cell division, cell differentiation and apoptosis.

1596

**Major Vault protein**: the main component of ribonucleoparticles termed vaults, which also contains two additional proteins, the vault poly (ADP-ribose) polymerase (vPARP) and the telomerase-associated protein 1 (TEP1), and several short untranslated, vault RNAs (vRNA). It has been implicated in the regulation of several cellular processes including transport mechanisms, signal transmissions and immune responses.

1603

1604 **Y-box protein 1**: a transcription factor shown to have a role in oncogenic cell
1605 transformation, multiple drug resistance and dissemination of tumours.

aminophospholipid translocases: enzymes which transport
phosphatidylserine and phosphatidylethanolamine from one side of a bilayer
to another.

1609 scramblases: proteins responsible for the translocation of phospholipids1610 between the inner and outer leaflet of a cell membrane.

1611 calpain: a calcium-dependent protein expressed ubiquitously in mammals1612 and many other organisms.

1613

1614 **Rho family of small GTPases**: a family of small signalling G proteins 1615 implicated in the regulation of many aspects of actin dynamics.

1616

1617 brush border: the microvilli-covered surface of epithelial cells found in1618 enterocytes in the intestine.

Warburg effect: an aerobic process whereby cancer cells produce energy by
a high rate of glycolysis followed by lactic acid fermentation in the cytosol,
rather than by oxidation of pyruvate in mitochondria

1623

**zipcode RNA sequence motifs:** cis-acting regulatory sequences (25 nucleotides) in the 3'-untranslated region (3'UTR) of mRNA transcripts that mediate binding of a ribonuclear protein complex to the mRNA, thereby temporarily blocking mRNA translation and that mediate movement of mRNA via the cytoskeleton to a cellular location where mRNA is released from protein binding and translation initiates.

1630

immunological synapse: a specialized cell–cell junction between a thymus derived lymphocyte (T cell) and an antigen-presenting cell.

1633

1634 Hedgehog: an essential signalling molecule, termed morphogen, required for1635 numerous processes during animal development.

1636

1637 **ISGylation:** an ubiquitin-like modification that controls exosome release by1638 decreasing the number of multivesicular endosomes.

1639

1640 caveolin: the principal component of caveolae, which are involved in receptor
 1641 (clathrin)-independent endocytosis, mechanotransduction and lipid
 1642 homeostasis.OK

- 1643
- 1644

SNARE proteins: an acronym derived from "SNAP (Soluble NSF Attachment
Protein) REceptor"); their primary role is to mediate the fusion of intracellular
vesicles with their target membrane bound compartments.

1648

Synaptotagmin family: family of membrane-trafficking proteins that has been
 implicated in calcium- dependent neurotransmitter release.

Protein kinase C: a serine/threonine kinase which plays important roles in
several signal transduction cascades by controlling the function of other
proteins through their phosphorylation.

1655

P2x<sub>7</sub> receptors: trimeric ATP-gated cation channels found predominantly, but
not exclusively, on immune cells, which have been implicated in various
inflammatory, immune, neurologic and musculoskeletal disorders.

1659

follicular dendritic cells: cells of the immune system found in primary and
 secondary lymph follicles of the B cell areas of the lymphoid tissue.

1662

1663 lectin: a carbohydrate-binding protein that is highly specific for sugar1664 moieties.

1665

proteoglycans: heavily glycosylated proteins consisting of a "core protein"
with one or more covalently attached glycosaminoglycans (GAG) chains

1668

Intercellular adhesion molecules (ICAMs): member of the immunoglobulin
 superfamily, which are involved in inflammation, immune responses and in
 intracellular signalling events.

1672

macropinocytosis a form of regulated endocytosis that involves the non specific uptake of extracellular material (such as small soluble molecules,
 nutrients, antigens) by invagination of the plasma membrane, which is then
 pinched resulting in small vesicles in the cytoplasm.

1677

1678 trophoblast: cells which form the outer layer of a blastocyst, provide nutrients1679 to the embryo and give rise to a large part of the placenta.

1680

1681 **microglia:** a type of brain glial cell acting as the first and main endogenous

1682 immune defense in the central nervous system

astrocytes: star-shaped glial cells in the brain involved in nutrient supply,
 maintenance of extracellular ion balance and in tissue repair following brain
 injuries

1687

1688 filopodia: highly dynamic actin-rich cell-surface protrusions used by cells to1689 sense their external environment.

1690

suicide mRNA/protein: suicide gene which encodes nonmammalian
 enzymes that convert an inactive drug into highly toxic metabolites that inhibit
 the synthesis of nucleic acids and causes cells to go to apotosis

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- 1695
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- 1697

#### 1698 Key points

- Secretion of extracellular vesicles was initially described as means of selective elimination of proteins, lipids and RNA from the cells. Now, extracellular vesicles are also considered as a new mode of intercellular communication.
- In any given setting, population of extracellular vesicles comprises diverse subpopulations that can differ in size, morphology, composition or biogenesis mechanisms. Complementary methods of analysis are required to distinguish between these subpopulations.
- Several machineries, prominently including components of the endocytic sorting machineries, act concomitantly for the generation of extracellular vesicles. In result, extracellular vesicles can largely vary in terms of their composition and may carry specific sets of proteins, lipids, RNA species that then determine their fate and functions.
- Generation of extracellular vesicles requires a fine tuning of various intracellular trafficking processes, which define the composition of nascent vesicles and impact their generation and, in the case of exosomes, their secretion from an intracellular compartment.

Interactions of extracellular vesicles with recipient cells and their can
 have various effects on the target cell, from stimulating signalling
 pathways to providing trophic support, which depends on the mode of
 interaction and the intracellular fate of the vesicles in case of their
 uptake.

Studies of the cell biology of extracellular vesicles is not only essential
 for addressing cell biological questions but is also critical to open new
 avenues for their clinical use as biomarkers, cargo vehicles for targeted
 delivery of compounds or as specific modulators of cell behaviours.

- 1725
- 1726

#### 1727 Author biographies

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Guillaume van Niel, is a research director at CNRS and team leader of the Center of Psychiatry and Neurosciences, U894 INSERM. His research focuses on the processes and functions of endosomes and exosomes with implications in neuropathies using Zebrafish as an *in vivo* model system.

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Gisela D'Angelo, is a research associated at CNRS, in Graça Raposo team, UMR144, at the Curie Institut. Her research interest is on the biogenesis and function of extracellular vesicles in developmental biology and cancer using Drosophila epithelium as an *in vivo* model system.

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Graça Raposo, is a research director at CNRS, Deputy Director and team leader of the Department of Cell Biology, UMR144, in Institut Curie. Her research interest is on Intracellular trafficking, the biogenesis and functions of exosomes and lysosome related organelles such as melanosomes with implications lysosomal diseases and cancer.

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#### 1745 Author contributions

All authors contributed equally to all aspects of the article (researching data
for article, substantial contribution to discussion of content, writing,
review/editing of manuscript before submission).

## **Competing interests statement**

- 1752 The authors declare no competing interests.

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